



Making Gifts of Stock or Appreciated Securities

Transferring securities held at a brokerage firm.

To transfer shares held with a brokerage firm, provide the following information to your financial advisor or custodian:

Shares should be sent to TIAA as follows.

DTC: 2663 TIAA
Account name: Caldwell University
Account number: 882331401
Tax ID: 22-1500483

TIAA Contact: Tim Ringkamp
TIAA Contact phone: 314-244-5125
TIAA Contact email: tringkamp@tiaa.org

Please also notify Caldwell University by emailing the following information to Giving@caldwell.edu or call Helen Vrontikis 973-618-3657 for assistance.

Name and Symbol of Security
Number of Shares
Date of Anticipated Delivery
Donor Name and Phone Number

For ACH transfers and EFT transfers, please contact us directly at 973-618-3242 or via email: Giving@Caldwell.edu

Gifts at year-end

If you wish to make a gift of securities for the current tax year, start early to ensure that your gift will be completed by December 31. While the University receives some electronic transfers within days of when the gift was initiated, longer delays are possible, especially at year-end. Gifts of mutual funds take longer than stock gifts, often several weeks. Contact your broker for their deadline to complete transfers by year-end.